

Teaching Lesson Plan

MND-1C: STOCK MARKET OPERATIONS

Lecture hours: 60

OBJECTIVES: To impart basic knowledge about the structure and functioning of the stock market in India and to learn trading on the stock exchange.

SN	Subject and Objectives	Lectures Hrs	Methodology	Evaluation Mode
Unit-I	Introduction	15Hrs		
	1. Concept and types of Securities; Concept of return; Concept, types and measurement of risk. 2. Development of Securities market in India.	15	PPT, Illustrations	Q & A, Assignments
Unit-II	Primary Market	15Hrs		
	1. Concept, Functions and Importance; Functions of New Issue Market (IPO, FPO & OFS). 2. Methods of Floatation- fix price method and book building method; Pricing of Issues; Offer Documents. 3. Appointment and Role of Merchant Bankers, Underwriters, Lead Managers, Syndicate Members, Brokers, Registrars, Bankers, ASBA; SME IPOs and Listing of Securities.	15	PPT, Illustrations	CIA
Unit-III	Secondary Market	15Hrs		
	1. Concept; Functions and Importance; Mechanics of Stock Market Trading-Different Types of Orders, Screen Based Trading, Internet-Based Trading and Settlement Procedure. 2. Types of Brokers.	15	PPT, Illustrations	Q & A, Assignments
Unit-IV	Regulatory Framework	15Hrs		
	1. SEBI (Issue of Capital and Disclosure Requirements) Regulation 2018. 2. Stock Exchanges and Intermediaries; SEBI and Investor Protection; Securities Contract Regulation Act and SEBI (Listing Obligations and Disclosure Requirements) Regulation 2015.	15	PPT, Illustrations	Q & A, Assignments
Unit-V	Demat Trading	15Hrs		
	1. Concept and Significance; Role of Depositories and Custodian of Securities in Demat Trading. 2. SEBI Guidelines and other Regulations Relating to Demat Trading; Procedure of Demat Trading.	15	PPT, Illustrations	Q & A, Assignments

Reference Books:

1. Gordon, E., & Natarajan, K. (2019). Financial Markets and Services. New Delhi: Himalaya Publishing House.
2. Benjamin, G. (1949). The Intelligent Investor. New York: Harper Publishing.
3. Dalton, J. M. (2001). How The Stock Market Works? New York: Prentice Hall Press.
4. Machiraju, H. R. (2019). Merchant Banking. New Delhi: New Age Publishers.

Prepared by: Department